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PTO/SB/01 (10-00)

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration  
Submitted  
with Initial  
Filing

OR

☒ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number P1292

First Named Inventor WOLAK, Edmund

**COMPLETE IF KNOWN**

Application Number 09/915,184

Filing Date July 24, 2001

Group Art Unit

Examiner Name

COPY

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TRACKING ERROR SUPPRESSION AND METHOD OF REDUCING TRACKING ERROR

(Title of the Invention)

the specification of which

☐ is attached hereto  
OR

☒ was filed on (MM/DD/YYYY) July 24, 2001

as United States Application Number or PCT International

Application Number 09/915,184 and was amended on (MM/DD/YYYY) 08/23/2001

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/C2B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/C2B attached hereto.
60/287,936	April 30, 2001	
60/291,949	May 21, 2001	

(Page 1 of 2)

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## DECLARATION — Utility or Design Patent Application

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OR ☐

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Address



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City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name Edmund L.  
(first and middle [if any])

Family Name WOLAK  
or Surname

Inventor's  
Signature

Date

Residence: City Palo Alto

State CA

Country US

Citizenship US

Mailing Address 660 Alger Drive

Mailing Address

City Palo Alto

State CA

ZIP 94306

Country

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name Tae J.  
(first and middle [if any])

Family Name KIM  
or Surname

Inventor's  
Signature

Date

Residence: City Milpitas

State CA

Country US

Citizenship Rep. of Korea

Mailing Address 1775 Milmont Drive

Mailing Address Apartment G202

City Milpitas

State CA

ZIP 95035

Country

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus sign (+) inside this box → +

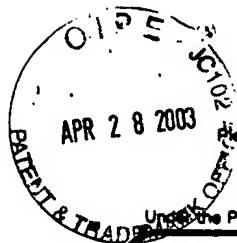
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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> <b>Supplemental Sheet</b> Page <u>1</u> of <u>1</u>
--------------------	---

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Harrison				RANSOM			
Inventor's Signature	<i>Harrison L. Ransom Jr.</i>			Date	9-17-01		
Residence: City	Antioch	State	CA	Country	US	Citizenship	US
Post Office Address <del>5021 Ranch Hollow Way</del> 5413 Amberdale Way							
Post Office Address							
City	Antioch	State	CA	ZIP	44513 94509		Country
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Jo				MAJOR			
Inventor's Signature				Date			
Residence: City	San Jose	State	CA	Country	US	Citizenship	US
Post Office Address 3394 Browing Avenue							
Post Office Address							
City	San Jose	State	CA	ZIP	95124		Country
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Robert				LANG			
Inventor's Signature				Date			
Residence: City	Pleasanton	State	CA	Country	US	Citizenship	US
Post Office Address 7580 Olive Drive							
Post Office Address							
City	Pleasanton	State	CA	ZIP	94588		Country

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**DECLARATION FOR UTILITY OR  
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☐ Declaration Submitted with Initial Filing      OR      ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	P1292
First Named Inventor	WOLAK
COMPLETE IF KNOWN	
Application Number	09/915,184
Filing Date	July 24, 2001
Group Art Unit	
Examiner Name	

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(Title of the Invention)

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

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Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/287,936	April 30, 2001	
60/291,949	May 21, 2001	

**DECLARATION — Utility or Design Patent Application**

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OR ☐

Correspondence address below

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**NAME OF SOLE OR FIRST INVENTOR :**☐ A petition has been filed for this unsigned inventor

Given Name

Edmund L.

Family Name  
or Surname WOLAK

(first and middle (if any))

Inventor's  
Signature

Date

Residence: City

Palo Alto

State CA

Country US

Citizenship US

Mailing Address

660 Alger Drive

Mailing Address

City Palo Alto

State CA

ZIP 94306

Country

**NAME OF SECOND INVENTOR:**☐ A petition has been filed for this unsigned inventor

Given Name

Tae J.

Family Name  
or Surname KIM

(first and middle (if any))

Inventor's  
Signature*Tae J. Kim*

Date

Oct. 23, 2001

Residence: City

San Jose

State CA

Country US

Citizenship Rep. of Korea

Mailing Address

824 Monarch Lane

Mailing Address

City San Jose

State CA

ZIP 95138

Country U.S.A.

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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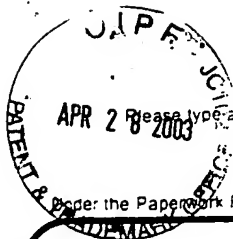
<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet Page <u>1</u> of <u>1</u>
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Harrison				RANSOM			
Inventor's Signature						Date	
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Robert				LANG			
Inventor's Signature						Date	
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<b>Attorney Docket Number</b>	P1292
<b>First Named Inventor</b>	WOLAK, Edmund
<b>COMPLETE IF KNOWN</b>	
<b>Application Number</b>	09/915,184
<b>Filing Date</b>	July 24, 2001
<b>Group Art Unit</b>	
<b>Examiner Name</b>	

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				YES	NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

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**NAME OF SOLE OR FIRST INVENTOR :**☐ A petition has been filed for this unsigned inventor

Given Name Edmund L.  
(first and middle [if any])

Family Name WOLAK  
or Surname

Inventor's  
Signature

Date 8/29/01

Residence: City Palo Alto

State CA

Country US

Citizenship US

Mailing Address 660 Alger Drive

Mailing Address

City Palo Alto

State CA

ZIP 94306

Country

**NAME OF SECOND INVENTOR:**☐ A petition has been filed for this unsigned inventor

Given Name Tae J.  
(first and middle [if any])

Family Name KIM  
or Surname

Inventor's  
Signature

Date

Residence: City Milpitas

State CA

Country US

Citizenship Rep. of Korea

Mailing Address 1775 Milmont Drive

Mailing Address Apartment G202

City Milpitas

State CA

ZIP 95035

Country

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.



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<h2 style="margin: 0;">DECLARATION</h2>	<b>ADDITIONAL INVENTOR(S)</b> <b>Supplemental Sheet</b> Page <u>1</u> of <u>1</u>
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<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Harrison				RANSOM			
Inventor's Signature						Date	
Residence: City	Antioch	State	CA	Country	US	Citizenship	US
Post Office Address 5021 Ranch Hollow Way							
Post Office Address							
City	Antioch	State	CA	ZIP	94509	Country	
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Jo				MAJOR			
Inventor's Signature	<i>J Major</i>					Date	8/29/01
Residence: City	San Jose	State	CA	Country	US	Citizenship	US
Post Office Address 3394 Browing Avenue							
Post Office Address							
City	San Jose	State	CA	ZIP	95124	Country	
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Robert				LANG			
Inventor's Signature	<i>Robert Lang</i>					Date	8-29-01
Residence: City	Pleasanton	State	CA	Country	US	Citizenship	US
Post Office Address 7580 Olive Drive							
Post Office Address							
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Washington, DC 20231

PATENT  
Attorney Docket No.: P1292D1

On \_\_\_\_\_

By \_\_\_\_\_  
Scott Hewett

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Wolak et al.

Examiner: LEUNG, Q.

Application No.: 10/016,174

Art Unit: 2828

Confirmation No.: 1851

Filed: October 30, 2001

For: LASER PUMP MODULE WITH  
REDUCED TRACKING ERROR

ESTABLISHMENT OF RIGHT OF  
ASSIGNEE UNDER 37 C.F.R. §3.73(b)(ii)  
AND  
CONSENT OF THE ASSIGNEE UNDER  
37 C.F.R. §1.48(a)(5)

Commissioner for Patents  
Box RCE  
Washington, DC 20231

Dear Sir:

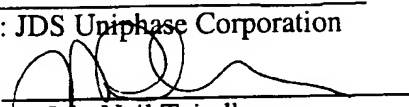
JDS Uniphase Corporation asserts the right to take action in the above-referenced U.S. patent application as assignee of the entire right, title, and interest in accordance with 37 C.F.R. §3.73 (b)(ii). This patent application is a divisional patent application of U.S. Pat. App. No. 09/915,184, assignments for which were recorded 01/10/2002 at reel/frame 012469/0071. Those assignments specifically assign rights in any division of 09/915,184.

The assignee hereby consents to add Harrison L. Ransom Jr. as an inventor of U.S. Pat. App. No. 10/016,174, and to delete Robert J. Lang as an inventor of U.S. Pat. App. No. 10/016,174 in accordance with 37 C.F.R. §1.48(a)(5). The undersigned states that he/she is authorized to act on behalf of the assignee in this matter.

Respectfully submitted,

Date Signed: APRIL 23, 2003

For: JDS Uniphase Corporation

By:   
Mr. Neil Teitelbaum,  
Vice President, Intellectual Property